



**STATE OF CALIFORNIA - GOVERNOR EDMOND G. BROWN JR.
LABOR AND WORKFORCE DEVELOPMENT AGENCY**
**CALIFORNIA UNEMPLOYMENT INSURANCE APPEALS BOARD
EXECUTIVE DIRECTOR/CHIEF ADMINISTRATIVE LAW JUDGE**

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February 11, 2013

To: Board Members

**February 2013 Summary Report of Executive Director and
Chief Administrative Law Judge Alberto Roldan**

1. Office of the Chief

- I am very sad to report the unexpected passing of Administrative Law Judge II Michael Campbell on February 8, 2013. Judge Campbell has served in the Chief's Office as a member of the Tax Unit for a number of years after having originally been appointed at CUIAB as an ALJ in the Sacramento Office of Appeals. He was an excellent judge and a warm and friendly colleague. This is a huge and unexpected loss to our office. We will pass on more information regarding the memorial service as it becomes available.

2. Snapshot of Field Operations performance through January 2012

January 2012 Workload and Performance: New cases departed significantly upward compared to the prior two months. January's intake [35,188] was 2% higher than the average for the fiscal year. Dispositions [34,777] were also greater than the previous two months, but trailed verifications for the first time since September 2012. Although this resulted in a slight rise in the open caseload [40,368], the inventory is has still been reduced overall by 12% as compared to where we were at the beginning of the fiscal year.

Case Aging and Time Lapse: Average case age at the end of January was reduced to 24 days. This is the best it has been since August and is well within DOL standards. 30-day time lapse [54%] jumped by six percentage points and is the second best month end result in ten years. 45-day [86%] and 90-day time lapse [97%] continue to be in great shape and continue a durable trend of compliance with DOL expectations. Time frames for non-time lapse UI cases continue to be substantially longer and actually increased from December. The balance of time lapse and non-time lapse cases as priorities in the workload continue to be a concern. 8% of the non-time lapse UI decisions were issued within 30 days, 24% went out within 45 days and only 84% within 90 days.

Cycle Time: The UI cycle time in January was 45 days from date of appeal to issuance of the decision. This was identical to the result in December and each step was basically unchanged. Of some interest is that offices flattened out and all except Pasadena were within 3 percentage points of the average. Though Pasadena is an outlier in terms of performance it again reduced its cycle time and hopefully soon will be back in the pack. They have filled the critical vacancy at the Legal Support Supervisor II position and this should stabilize matters on the support staff side. Despite the reduction in caseload, the cycle time for DI appeals rose one day to 76 days.

Unemployment Insurance (UI) for January: New UI cases [33,641 cases; 19,238 appellants] were 2% above the average for the fiscal year and the largest intake since October 2012. The number of closed cases [33,153 cases; 18,930 appellants] was 1% below average but just slightly trailed new cases. There was some change in the mix of cases in that the percentage of non time-lapse UI cases [mostly extensions] fell to 40% of the open caseload as opposed to 43% in December.

Disability Insurance (DI) for January: In disability, the number of new cases [982] was also higher than the previous two months, but remains quite low in historic terms. Prior to last November, the agency had verified at least 1,000 new cases every month since CATS was instituted. Intake now has been below that threshold for three consecutive months. The number of DI decisions [1,083] reflects that lack of new cases. The open balance [1,277] hit an all-time low for the second straight month.

Tax and Rulings for January: After two very slow months for rulings, intake [223] was up. Dispositions were also up but not as much, and the inventory [4,147] had a very slight bump upwards. In tax, the number of new petitions has been relatively consistent every month this fiscal year. For December it was 2% below the average. January had the greatest number of dispositions [299] since October. The open balance of tax cases [3,606] is the smallest it has been since February 2009.

UI TRENDS - FO
 Program Codes 1, 2, 3, 4, 5, 6, 8, 23, 24, 28, 29, 30, 31, 33, 34, 35, 36, 37, 38, 41, 42

NEW OPENED CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Total	Avg.	% Chg of Avg	Yr-Yr AvgChg
2010	37,307	34,125	38,172	42,249	37,447	36,321	39,238	40,219	31,780	35,604	30,181	35,509	438,152	36,513		
2011	38,676	34,399	39,494	35,519	36,159	35,785	32,527	38,079	39,828	36,161	30,799	31,448	428,874	35,740	98%	-773
2012	33,339	30,233	36,391	33,590	34,531	31,871	32,132	37,791	33,363	36,746	31,266	26,393	397,646	33,137	93%	-2,602
2013	33,691												33,691	33,691	102%	554
Multi:	7															
UI registrations Jan to date are up 1% from 2012, down 13% from 2011, and down 10% from 2010																
UI registration monthly average is up 2% from 2012, down 6% from 2011, and down 8% from 2010																
chg to '13 avg																

CLOSED CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Total	Avg.	% Chg of Avg	Yr-Yr AvgChg
2010	32,738	37,951	44,067	39,481	35,731	36,680	35,798	39,000	38,748	37,386	34,848	36,237	448,665	37,389		
2011	34,029	37,998	50,124	35,054	32,103	38,117	33,797	36,979	41,802	33,663	33,076	34,301	441,043	36,754	98%	-635
2012	33,604	37,167	44,615	28,383	34,802	31,915	30,672	35,346	30,299	38,963	32,844	32,269	410,879	34,240	93%	-2,514
2013	33,153												33,153	33,153	97%	-1,087
Multi:	4															
UI dispositions Jan to date are down 1% from 2012, down 3% from 2011, and up 1% from 2010																
UI disposition monthly average is down 3% from 2012, down 10% from 2011, and down 11% from 2010																
chg to '13 avg																

BALANCE OPEN CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Total	Avg.	% Chg of Avg	Yr-Yr AvgChg
2010	76,301	72,323	66,136	68,715	70,234	69,664	72,557	73,410	66,243	64,624	59,811	59,075		68,258		
2011	63,632	59,909	49,088	49,435	53,389	50,926	49,805	50,755	48,650	51,057	48,653	45,715		51,751	76%	-16,507
2012	45,315	38,225	29,603	34,674	34,327	34,188	35,578	37,843	40,820	38,495	36,792	30,853		36,393	70%	-15,358
2013	31,303													31,303	86%	-5,090
Multi:	9															
UI balance of open cases Jan to date is down 31% from 2012, down 51% from 2011, and down 59% from 2010																
UI balance monthly average down 14% from 2012, down 40% from 2011, and down 54% from 2010																
chg to '13 avg																

DI TRENDS - FO
Program Codes 7, 10, 11, 12, 16 & 20

NEW OPENED CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Total	Avg.	% Chg of Avg	Yr-Yr AvgChg
2010	1,446	1,437	1,775	1,957	1,371	1,232	1,763	1,609	1,366	1,372	1,159	1,414	17,901	1,492		
2011	1,537	1,651	1,411	1,691	1,360	1,428	1,405	1,575	1,489	1,392	1,094	1,268	17,301	1,442	97%	-50
2012	1,395	1,490	1,611	1,256	1,362	1,382	1,206	1,122	1,233	1,069	845	754	14,725	1,227	85%	-215
2013	982												982	982	80%	-245
													2012	80%	70%	
													2011	68%	64%	
													2010	66%	68%	
														chg to '13 avg	chg to '13 YTD	

DI registrations Jan to date are down 30% from 2012, down 36% from 2011, and down 32% from 2010
DI registration monthly average is down 20% from 2012, down 32% from 2011, and down 34% from 2010

CLOSED CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Total	Avg.	% Chg of Avg	Yr-Yr AvgChg
2010	1,283	1,557	1,967	1,852	1,276	1,581	1,494	1,511	1,581	1,552	1,372	1,565	18,591	1,549		
2011	1,295	1,576	1,925	1,512	1,441	1,567	1,365	1,462	1,426	1,579	1,266	1,270	17,684	1,474	95%	-76
2012	1,334	1,547	1,456	1,424	1,460	1,140	1,079	1,220	999	1,452	938	1,039	15,088	1,257	85%	-216
2013	1,083												1,083	1,083	86%	-174
													2012	86%	81%	
													2011	73%	84%	
													2010	70%	84%	
														chg to '13 avg	chg to '13 YTD	

DI dispositions Jan to date are down 19% from 2012, down 16% from 2011, and down 16% from 2010
DI disposition monthly average is down 14% from 2012, down 27% from 2011, and down 30% from 2010

BALANCE OPEN CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Avg.	% Chg of Avg	Yr-Yr AvgChg
2010	2,997	2,876	2,682	2,789	2,891	2,541	2,808	2,908	2,691	2,513	2,299	2,148	2,679		
2011	2,390	2,465	1,951	2,126	2,046	1,905	1,943	2,054	2,117	1,930	1,757	1,755	2,037	76%	-642
2012	1,815	1,757	1,905	1,734	1,636	1,877	2,005	1,906	2,139	1,755	1,663	1,379	1,798	88%	-239
2013	1,277												1,277	71%	-521
													2012	71%	70%
													2011	63%	53%
													2010	48%	43%
														chg to '13 avg	chg to '13 YTD

DI open balance Jan to date is down 30% from 2012, down 47% from 2011, and down 57% from 2010
DI open balance monthly average down 29% from 2012, down 37% from 2011, and down 52% from 2010

TAX TRENDS - FO
Program Codes 15, 17, 18, 32, 45, 46, 47, 48

NEW OPENED CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Total	Avg.	% Chg of Avg	Yr-Yr AvgChg
2010	142	139	164	233	140	163	94	137	146	181	188	232	1,959	163		
2011	134	168	144	261	140	180	112	266	364	147	248	402	2,566	214	131%	51
2012	346	141	196	117	78	335	253	229	254	200	215	214	2,578	215	100%	1
2013	223												223	223	104%	8
													2012	104%	64%	
													2011	104%	166%	
													2010	137%	157%	
														chg to '13 avg	chg to '13 YTD	

Tax registrations Jan to date are down 36% from 2012, up 66% from 2011, and up 57% from 2010
Tax registration monthly average is up 4 % from 2012, up 4% from 2011, and up 37% from 2010

CLOSED CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Total	Avg.	% Chg of Avg	Yr-Yr AvgChg
2010	48	109	107	91	117	124	135	101	174	130	99	235	1,470	123		
2011	139	173	193	252	176	277	168	278	325	293	323	247	2,844	237	193%	115
2012	227	352	322	492	267	217	236	290	284	357	234	195	3,473	289	122%	52
2013	299												299	299	103%	10
													2012	103%	132%	
													2011	126%	215%	
													2010	244%	623%	
														chg to '13 avg	chg to '13 YTD	

Tax dispositions Jan to date are up 32% from 2012, up 115% from 2011, and up 523% from 2010
Tax disposition monthly average is up 3% from 2012, up 26% from 2011, and up 144% from 2010

BALANCE OPEN CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Avg.	% Chg of Avg	Yr-Yr AvgChg
2010	4,509	4,539	4,596	4,738	4,759	4,796	4,754	4,790	4,758	4,801	4,890	4,885	4,735		
2011	4,880	4,874	4,824	4,833	4,797	4,700	4,643	4,630	4,666	4,520	4,445	4,593	4,700	99%	-34
2012	4,711	4,498	4,371	3,995	3,803	3,918	3,931	3,871	3,841	3,683	3,664	3,683	3,997	85%	-703
2013	3,606												3,606	90%	-391
													2012	90%	77%
													2011	77%	74%
													2010	76%	80%
														chg to '13 avg	chg to '13 YTD

Tax balance of open cases Jan to date is down 23% from 2012, down 26% from 2011, and down 20% from 2010
Tax balance monthly average is down 10% from 2012, down 23% from 2011, and down 24% from 2010

RULING - OTHER TRENDS - FO
Program Codes 9, 13, 14, 19, 21, 22, 40, 44

NEW OPENED CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Total	Avg.	% Chg of Avg	Yr-Yr AvgChg
2010	486	609	709	598	441	424	468	1,359	201	239	229	214	5,977	498		
2011	64	97	92	739	526	510	426	454	207	982	247	251	4,595	383	77%	-115
2012	182	245	746	576	605	424	229	418	209	315	51	108	4,108	342	89%	-41
2013	292												292	292	85%	-50
													2012	85%	160%	
													2011	76%	456%	
													2010	59%	60%	
														chg to '13 avg	chg to '13 YTD	

Ruling/Other registrations Jan to date are up 60% from 2012, up 356% from 2011, and down 40% from 2010
Ruling/Other registration monthly average is down 15% from 2012, down 24% from 2011, and down 41% from 2010

CLOSED CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Total	Avg.	% Chg of Avg	Yr-Yr AvgChg
2010	335	392	500	682	465	716	421	631	484	804	303	415	6,148	512		
2011	442	399	728	390	424	631	384	397	530	593	389	351	5,658	472	92%	-41
2012	500	455	299	255	214	165	239	323	170	334	434	171	3,559	297	63%	-175
2013	242												242	242	82%	-55
													2012	82%	48%	
													2011	51%	55%	
													2010	47%	72%	
														chg to '13 avg	chg to '13 YTD	

Ruling/Other dispositions Jan to date are down 52% from 2012, down 45% from 2011, and down 28% from 2010
Ruling/Other disposition monthly average is down 18% from 2012, down 49% from 2011, and down 53% from 2010

BALANCE OPEN CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Avg.	% Chg of Avg	Yr-Yr AvgChg
2010	4,965	5,182	5,394	5,312	5,287	4,996	5,048	5,781	5,494	4,931	4,857	4,658	5,159		
2011	4,281	3,977	3,340	3,692	3,792	3,672	3,716	3,772	3,453	3,842	3,698	3,590	3,735	72%	-1,423
2012	3,272	3,060	3,509	3,825	4,216	4,475	4,466	4,563	4,602	4,582	4,199	4,133	4,075	109%	340
2013	4,182												4,182	103%	107
													2012	103%	128%
													2011	112%	98%
													2010	81%	84%
														chg to '13 avg	chg to '13 YTD

Ruling/Other balance of open cases Jan to date is up 28% from 2012, down 2% from 2011, and down 16% from 2010
Ruling/Other balance monthly average is up 3% from 2012, up 12% from 2011, and down 19% from 2010

ALL PROGRAM TRENDS - FO

NEW OPENED CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	TOTAL	Avg.	% Change	Yr-Yr AvgChg
2010	39,381	36,310	40,820	45,037	39,399	38,140	41,563	43,324	33,493	37,396	31,757	37,369	463,989	38,666		
2011	40,411	36,315	41,141	38,210	38,185	37,903	34,470	40,374	41,888	38,682	32,388	33,369	453,336	37,778	98%	-888
2012	35,262	32,109	38,944	35,539	36,576	34,012	33,820	39,560	35,059	38,330	32,377	27,469	419,057	34,921	92%	-2,857
2013	35,188												35,188	35,188	101%	267
Multi:													2012	101%	100%	
All program registrations Jan to date are even with 2012, down 13% from 2011, and down 11% from 2010																
All program registration monthly average is up 1% from 2012, down 7% from 2011, and down 9% from 2010																
chg to '13 avg chg to '13 YTD																

CLOSED CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	TOTAL	Avg.	% Change	Yr-Yr AvgChg
2010	34,404	40,009	46,641	42,106	37,589	39,101	37,848	41,243	40,987	39,872	36,622	38,452	474,874	39,573		
2011	35,905	40,146	52,970	37,208	34,144	40,592	35,714	39,116	44,083	36,128	35,054	36,169	467,229	38,936	98%	-637
2012	35,665	39,521	46,692	30,554	36,743	33,437	32,226	37,179	31,752	41,106	34,450	33,674	432,999	36,083	93%	-2,853
2013	34,777												34,777	34,777	96%	-1,306
Multi:													2012	96%	98%	
All program dispositions Jan to date are down 2% from 2012, down 3% from 2011, and up 1% from 2010																
All program disposition monthly average is down 4% from 2012, down 11% from 2011, and down 12% from 2010																
chg to '13 avg chg to '13 YTD																

BALANCE OPEN CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Avg.	% Change	Yr-Yr AvgChg	
2010	88,772	84,920	78,808	81,554	83,171	81,997	85,167	86,889	79,186	76,869	71,857	70,783	80,831			
2011	75,183	71,225	59,203	60,086	64,024	61,203	60,107	61,211	58,886	61,349	58,553	55,653	62,224	77%	-18,608	
2012	55,113	47,540	39,388	44,228	43,982	44,458	45,980	48,183	51,402	48,515	46,318	40,048	46,263	74%	-15,961	
2013	40,368												40,368	87%	-5,895	
Multi:													2012	87%	73%	
All program open balance Jan to date is down 27% from 2012, down 46% from 2011, and down 55% from 2010																
All program open balance monthly average is down 13% from 2012, down 35% from 2011, and down 50% from 2010																
chg to '13 avg chg to '13 YTD																

