



STATE OF CALIFORNIA - GOVERNOR ARNOLD SCHWARZENEGGER
LABOR AND WORKFORCE DEVELOPMENT AGENCY
CALIFORNIA UNEMPLOYMENT INSURANCE APPEALS BOARD
**OFFICE OF THE CHIEF ADMINISTRATIVE LAW JUDGE/
ACTING EXECUTIVE DIRECTOR**
2400 Venture Oaks Way, Suite 300
Sacramento, CA 95833
Phone: (916) 263-6722
Fax: (916) 263-6764

October 11, 2010

To: Board Members

**October 2010 Summary Report of Acting Executive Director and
Chief Administrative Law Judge Alberto Roldan**

1. Office of the Chief

- a. 12 ALJs (including two retired annuitants) completed the new ALJ academy on October 8th. They have now returned to their offices to hear cases. They will return the week of November 15th to complete the third week of their training.
- b. The Regional Training that was scheduled for February 2011 has been postponed to May 2011.

2. Snapshot of Field Operations as of September 30, 2010

Overall Workload: The open caseload [79,186] fell by more than 7500 cases in September. It is at the lowest level since March. The reduction was due primarily to the fact there were only 33,493 new, verified appeals last month. This was almost 10,000 fewer than in August 2010 and the smallest number since last November. Much of the drop-off was driven by the loss of overtime and the hiring freeze. CUIAB had a huge spike in the number of unverified appeals because we currently do not have the personnel to match the workload. The impact of the vacancies was absorbed by the use of overtime.

However, even factoring in that reality, it appears the number of appeals processed by EDD [which did have overtime] was down from the previous two months. Because of the lack of overtime and the hiring freeze, the HUB (typing) queue grew by a factor of five last month, and some offices fell behind in mailing decisions. Nonetheless, we still closed 40,987 cases which is almost 1000 cases above the average production in 2010. This would have resulted in a falling inventory even without

the drop-off in verifications. This may signify that overall the pace of appeals is finally slowing slightly. Regardless, we don't have the personnel to process all of the appeals in a more timely fashion unless the hiring freeze is lifted and overtime is authorized.

Unemployment Insurance (UI): The number of new UI cases [31,780 cases; 20,499 appellants] was 3700 below the 2010 average and represents the fewest appeals processed since last November. Closed cases [38,748 cases; 24,992 appellants] were actually 3% above the average for 2010 despite the backlog in the HUB. The open inventory [66,243 cases; 42,727 appellants] went from a seven month high to a six month low in just 30 days, but this must be viewed in the context of the lack of resources to process all appeals received. There were well over 15,000 en route cases from EDD that had not been registered as of this writing.

DI. Disability Insurance (DI): The hiring freeze and lack of overtime also impacted the verification of DI cases. The number of new cases [1,366] was 12% below average for 2010. Meanwhile, we closed 1,581 cases, which is the most in three months. The open inventory [2,291 cases] is the smallest it has been since June and below the average for the year.

Tax, Rulings, Other: In August, we verified over 1300 new ruling cases. Last month we prioritized UI and DI verifications and as a result, only processed only 161. Although there were also fewer closed cases [446] than average, the inventory was reduced. Nonetheless, it remains higher than the average for the year.

We were definitely open for business in the tax arena. First, the tax office typically is able to handle its intake without overtime, and so verifications [146] were only slightly below average numbers. Meanwhile, closed cases [174] were the most since December and more than 50% higher than average. Largely due to this effort in September, the open inventory [4,758] has actually fallen slightly over the past four months.

Case Aging and Time Lapse: For the 2nd consecutive month, most of the timeliness measures improved. The average case age [44 days] was the lowest it has been since November 2008. While not close to the Federal standard, and only slightly smaller than was true over the past several months, this more than anything may confirm that we are making progress, albeit haltingly.

STATEWIDE	2010												Average	STATEWIDE					
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec		Current Mo. % of Avg.	Total	Appellants Current Mo. Average	Total		
WORKLOAD	New Opened Cases	UI TL	37,307	34,125	38,172	42,249	37,447	36,321	39,238	40,219	31,780	37,429	37,429	85%	336,858	20,498	24,141	217,273	
		DI	1,446	1,437	1,775	1,957	1,371	1,232	1,763	1,609	1,366	1,551	1,551	88%	13,956				
		Ruling & T-R	456	585	681	574	419	391	427	1,328	161	558	558	29%	5,022				
		Tax	142	139	164	233	140	163	94	137	146	40	151	97%	1,358				
		Other	30	24	28	24	22	33	41	31	40		30	132%	273				
		Total	39,381	36,310	40,820	45,037	39,399	38,140	41,563	43,324	33,493		39,719	39,719	84%	357,467			
		Multi Case/Chnl	10	29	130	17	35	43	396	153	77								
		Closed Cases	UI TL	32,738	37,951	44,067	39,481	35,731	36,680	35,798	39,000	38,748	37,799	37,799	103%	340,194	24,992	24,381	219,425
		DI	1,283	1,557	1,967	1,852	1,276	1,581	1,494	1,511	1,581		1,567	1,567	101%	14,102			
		Ruling & T-R	301	368	470	647	440	684	395	600	446	483	483	92%	4,351				
Tax	48	109	107	91	117	124	135	101	174	112	112	156%	1,006						
Other	34	24	30	35	25	32	26	31	38		31	31	124%	275					
Total	34,404	40,009	46,641	42,106	37,589	39,101	37,848	41,243	40,987		39,992	39,992	102%	359,928					
Multi Case/Chnl	7/50	3/17	8/26	5/12	12/79	11/28	31/102	9/39											
Balance - Open Cases	UI TL	76,301	72,323	66,136	68,715	70,234	69,664	72,557	73,410	66,243	70,620	70,620	94%	620,194	42,727	45,550			
	DI	2,997	2,876	2,682	2,789	2,891	2,541	2,808	2,908	2,691	2,798	2,798	96%	27,981					
	Ruling & T-R	4,890	5,107	5,320	5,248	5,227	4,934	4,970	5,701	5,412	5,201	5,201	104%	52,201					
	Tax	4,509	4,539	4,596	4,738	4,759	4,798	4,754	4,790	4,758	4,693	4,693	101%	46,933					
	Other	75	75	74	64	60	62	78	80	82	72	72	114%	7,144					
	Total	88,772	84,920	78,808	81,554	83,171	81,997	85,167	86,889	79,186		83,385	83,385	95%	720,385				
	Multi Case/Chnl	32	46	150	155	111	126	421	575	602									
	Time Lapse	30 TL %	2	3	3	3	3	3	2	4	3	3	3	104%					
	45 TL %	6	9	12	14	15	13	12	13	15	12	12	12	124%					
	90 TL %	60	61	68	76	76	76	72	69	70	70	70	70	100%					
CASE AGE	UI (mean)	54	49	46	47	47	46	47	45	44	47	47	47	93%					
	UI (median)	49	44	41	39	41	41	43	40	40	42	42	42	95%					
	>90 Days Old	13%	11%	8%	10%	8%	8%	8%	7%	7%	9%	9%	9%	79%					
	>90 Days Old w/out Multis	13%	11%	8%	10%	8%	8%	8%	7%	7%	9%	9%	9%	79%					
	>90 Days Old DI	31%	29%	19%	19%	12%	13%	14%	12%	8%	17%	17%	17%	48%					
	PY USAGE	ALJ	169.40	179.60	191.79	196.31	172.05	193.57	178.13	198.27		184.9	184.9	107%					
	Field Offices	Non ALJ	215.60	223.85	233.48	241.96	233.18	239.84	219.66	231.08		229.8	229.8	101%					
	Net Pys	385.00	403.45	425.27	438.27	405.23	433.41	397.79	429.35		414.7	414.7	104%						
	Ratio 1 /	1.27	1.25	1.22	1.23	1.36	1.24	1.23	1.17		1.25	1.25	94%						
	w/FOHQ&RSU	ALJ	172.14	182.95	196.75	201.19	174.83	197.68	182.54	203.37		188.9	188.9	108%					
SS w/EDD	Non ALJ	257.30	266.96	277.21	285.98	282.53	279.65	262.69	277.18		273.7	273.7	101%						
EDD 0	Net Pys	429.44	449.91	473.96	487.17	457.36	477.33	445.23	480.55		462.6	462.6	104%						
Ratio 1 /	1.49	1.46	1.41	1.42	1.62	1.41	1.44	1.36		1.45	1.45	94%							
PRODUCTIVITY	Weekly Dispos per ALJ (UI&D)	52.0	56.8	53.2	46.7	52.9	44.0	48.6	45.3		49.9	49.9	91%						
	Weekly Dispos per ALJ	52.6	57.5	53.9	47.6	53.8	45.0	49.4	46.1		50.7	50.7	91%						
	Weekly Dispos (Non-ALJ)	35.2	39.4	38.2	33.5	33.3	31.8	34.3	33.8		34.9	34.9	97%						

ALL PROGRAM TRENDS - FO

NEW OPENED CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	TOTAL	Avg.	% Change	Number Change
2007	23,562	18,297	25,922	22,605	25,644	23,924	24,211	25,581	22,429	25,955	22,970	21,801	282,901	23,575		
2008	25,938	23,093	27,702	31,537	27,015	26,199	27,234	27,027	32,412	33,831	30,926	31,245	344,159	28,680	122%	5,105
2009	34,115	30,306	33,645	34,018	34,720	36,687	34,412	33,610	35,623	38,035	29,542	39,222	413,935	34,495	120%	5,815
2010	39,381	36,310	40,820	45,037	39,399	38,140	41,563	43,324	33,493				357,467	39,719	115%	5,224
													2009	115%	116%	
													2008	138%	144%	
													2007	168%	168%	
														chg to '10 avg	chg to '10 YTD	

Registrations Sep to date are up 16% from 2009, up 44% from 2008, and up 68% from 2007
 Registration monthly average is up 15% from 2009, up 38% from 2008, and up 68% from 2007

CLOSED CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	TOTAL	Avg.	% Change	Number Change
2007	22,367	21,052	26,509	19,422	23,762	18,981	26,052	23,268	20,467	25,089	20,861	21,110	268,940	22,412		
2008	22,962	24,939	31,377	27,534	29,082	26,725	26,640	21,783	26,305	29,943	23,055	27,989	318,334	26,528	118%	4,116
2009	27,273	26,451	30,253	32,388	31,481	34,471	36,722	32,474	34,290	41,893	36,461	38,969	403,126	33,594	127%	7,066
2010	34,404	40,009	46,641	42,106	37,589	39,101	37,848	41,243	40,987				359,928	39,992	119%	6,398
													2009	119%	126%	
													2008	151%	152%	
													2007	178%	178%	
														chg to '10 avg	chg to '10 YTD	

Dispositions Sep to date are up 26% from 2009, up 52% from 2008, and up 78% from 2007
 Disposition monthly average is up 19% from 2009, up 51% from 2008, and up 78% from 2007

BALANCE OPEN CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Avg.	% Change	Number Change	
2007	35,620	32,854	32,246	35,419	37,287	42,222	40,281	42,520	44,391	45,132	47,192	47,824	40,249			
2008	50,735	48,851	45,085	48,985	46,870	46,297	46,811	51,973	58,005	61,773	69,574	72,712	53,973	134%	13,724	
2009	79,459	83,239	86,674	88,675	91,984	94,025	91,932	93,231	94,499	90,583	83,671	83,874	88,487	164%	34,515	
2010	88,772	84,920	78,808	81,554	83,171	81,997	85,167	86,889	79,186				83,385	94%	-5,102	
													2009	94%	93%	
													2008	154%	169%	
													2007	207%	219%	
														chg to '10 avg	chg to '10 YTD	

Open Balance Sep to date is down 7% from 2009, up 69% from 2008, and up 119% from 2007
 Open Balance monthly average is down 6% from 2009, up 54% from 2008, and up 107% from 2007

NEW OPENED CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Total	Avg.	% Chg of Avg	Yr-Yr AvgChg
2007	21,140	16,179	23,079	20,455	23,574	21,662	21,875	22,926	20,655	24,172	21,126	19,974	256,817	21,401		
2008	24,049	20,982	25,443	28,984	24,768	24,092	24,904	24,902	29,898	31,704	29,345	29,331	318,402	26,534	124%	5,132
2009	32,164	29,014	31,429	31,869	32,267	34,435	32,319	31,827	33,713	35,619	27,150	37,388	389,194	32,433	122%	5,899
2010	37,307	34,125	38,172	42,249	37,447	36,321	39,238	40,219	31,780				336,858	37,429	115%	4,996

UI registrations Sep to date are up 17% from 2009, up 48% from 2008, and up 76% from 2007
 UI registration monthly average up 15% from 2009, up 41% from 2008, and up 75% from 2007

CLOSED CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Total	Avg.	% Chg of Avg	Yr-Yr AvgChg
2007	19,877	18,769	23,717	17,096	21,363	17,145	23,939	21,088	18,509	23,147	19,205	19,727	243,532	20,299		
2008	21,005	22,903	29,555	25,899	26,437	24,463	24,439	19,930	24,266	27,396	21,606	26,483	294,382	24,532	121%	4,233
2009	25,728	24,752	28,392	30,565	30,101	32,703	34,500	30,455	32,165	39,878	34,525	36,623	380,387	31,699	129%	7,167
2010	32,738	37,951	44,067	39,481	35,731	36,680	35,798	39,000	38,748				340,194	37,799	119%	6,100

UI dispositions Sep to date are up 26% from 2009, up 55% from 2008, and up 87% from 2007
 UI disposition monthly average up 19% from 2009, up 54% from 2008, and up 86% from 2007

BALANCE OPEN CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Total	Avg.	% Chg of Avg	Yr-Yr AvgChg
2007	28,182	25,579	24,918	28,265	30,459	34,970	32,812	34,575	36,645	37,554	39,427	39,618		32,750		
2008	42,602	40,635	36,437	39,418	37,700	37,280	37,664	42,554	48,111	52,305	59,975	62,706		44,782	137%	12,032
2009	69,049	73,237	76,311	77,968	80,188	81,750	79,774	81,302	82,785	78,473	71,095	71,813		76,979	172%	32,197
2010	76,301	72,323	66,136	68,715	70,234	69,664	72,557	73,410	66,243					70,620	92%	-6,358

UI balance of open cases Sep to date is down 10% from 2009, up 75% from 2008, and up 130% from 2007
 UI balance monthly average down 8% from 2009, up 58% from 2008, and up 116% from 2007

2009	92%	90%	
2008	158%	175%	
2007	216%	230%	
	chg to '10 avg	chg to '10 YTD	

DI TRENDS - FO
Program Codes 7, 10, 11, 12, 16 & 20

NEW OPENED CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Total	Avg.	% Chg of Avg	Yr-Yr AvgChg
2007	1,858	1,412	2,025	1,599	1,720	1,715	1,526	2,065	1,536	1,504	1,522	1,347	19,829	1,652		
2008	1,481	1,470	1,661	1,887	1,534	1,540	1,615	1,333	1,697	1,640	1,317	1,522	18,697	1,558	94%	-94
2009	1,610	1,107	1,794	1,519	1,628	1,748	1,537	1,321	1,571	1,414	1,245	1,330	17,824	1,485	95%	-73
2010	1,446	1,437	1,775	1,957	1,371	1,232	1,763	1,609	1,366				13,956	1,551	104%	65

DI registrations Sep to date are up 1% from 2009, down 2% from 2008, and down 10% from 2007
DI registration monthly average is up 4% from 2009, even with 2008, and down 6% from 2007

CLOSED CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Total	Avg.	% Chg of Avg	Yr-Yr AvgChg
2007	1,782	1,676	1,908	1,674	1,694	1,276	1,686	1,799	1,505	1,661	1,320	1,179	19,160	1,597		
2008	1,579	1,477	1,506	1,368	1,884	1,720	1,601	1,385	1,579	1,920	1,049	1,277	18,345	1,529	96%	-68
2009	1,217	1,269	1,451	1,465	1,129	1,463	1,823	1,644	1,648	1,753	1,527	1,701	18,090	1,508	99%	-21
2010	1,283	1,557	1,967	1,852	1,276	1,581	1,494	1,511	1,581				14,102	1,567	104%	59

DI dispositions Sep to date are up 8% from 2009, even with 2008, and down 6% from 2007
DI disposition monthly average is up 4% from 2009, up 2% from 2008, and down 2% from 2007

BALANCE OPEN CASES

	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Total	Avg.	% Chg of Avg	Yr-Yr AvgChg
2007	2,107	1,844	1,962	1,888	1,915	2,353	2,193	2,455	2,486	2,325	2,527	2,693		2,229		
2008	2,590	2,586	2,738	3,257	2,907	2,728	2,742	2,692	2,810	2,525	2,790	3,034		2,783	125%	554
2009	3,426	3,264	3,613	3,684	4,197	4,478	4,204	3,895	3,819	3,476	3,203	2,836		3,675	132%	891
2010	2,997	2,876	2,682	2,789	2,891	2,541	2,808	2,908	2,691					2,798	76%	-876

Open Balance DI Sep to date is down 27% from 2009, up 1% from 2008, and up 31% from 2007
Open Balance monthly average down 24% from 2009, up 1% from 2008, and up 26% from 2007

2009	76%	73%	
2008	101%	101%	
2007	126%	131%	
chg to '10 avg			chg to '10 YTD

AO REPORT TO BOARD—MONTH OF September 2010

	# Cases	# Appellants	Fiscal Yr Ave
REGISTRATIONS	3,089	1,997	15% above
DISPOSITIONS	2,647	1,729	2% above
OPEN BALANCE	3,591	2,316 (est.)	20% above

CASE AGING 28 Days MET DOL STANDARD (40 days or less)

TIME LAPSE

DOL Standard	Actual % for September 2010	Expected
45 Days	87%	50%
75 Days	97%	80%
150 Days	100%	95%

ADDITIONAL INFORMATION

FO TO AO Monthly Report

Report on ALJ production

AO ALJs doing FO Mass calendars

California Unemployment Insurance Appeals Board
AO ALJ Assignment of Cases
For 9/1/2010 to 9/30/2010

ALJ Name	ALJ Type	# of Case Assigned	# of Appellant
Jorge Carrillo	calj	52	24
Emma A. Castillo	aljll	157	104
Joel Contreras	aljll	250	144
Martha Geiger	aljll	153	99
Ronald K. Goi	aljll	135	99
Susana Halfon	aljll	137	94
Nancy Kirk	aljll	137	86
Lori Moreland	aljll	170	126
J. C. Newcomb	aljll	196	131
Cindy Parker	aljll	68	32
Patricia K. Poyner	aljll	87	60
William J. Purcell	aljll	153	105
L. Shek	aljll	145	111
Kevin C. Toole	aljll	149	105
M. Bockwinkel	alj	86	59
Wendy Breckon	alj	104	73
Peri De Marco	alj	112	77
Kevin Elmendorf	alj	134	91
Thomas E Flynn	alj	108	73
Theresa Herrington	alj	130	92
Barbara Steinhardt-Carter	alj	139	95
Kelly Van Camp	alj	168	115
Total		2,970	1,995

**California Unemployment Insurance Appeals Board
Board Appeal Summary Report**

	September, 2010	August, 2010	July, 2010	June, 2010
	Average Days in Transfer			
	Case Count	Case Count	Case Count	Case Count
Fresno	3.76	4.45	5.18	4.44
Inglewood	4.84	6.08	7.49	7.91
Inland	4.68	6.43	5.64	7.67
Los Angeles	4.69	5.15	5.90	7.93
Oakland	7.95	8.87	10.87	11.86
Orange County	4.65	4.24	5.97	4.05
Oxnard	5.17	5.42	6.66	6.12
Pasadena	7.02	11.14	10.13	11.48
Sacramento	5.02	4.59	5.41	4.96
San Diego	10.01	10.39	10.69	11.05
San Francisco	5.27	5.18	6.19	6.36
San Jose	7.43	9.98	13.67	10.90
Tax Office	2.87	4.32	5.34	5.51
Total	5.51	6.55	7.34	7.54

Case Assignment to the Board for the month of: September 2010

Agenda Item 9

Board Member	1st	2nd	3rd	4th	5th	6th	7th	UI	DI	Ruling	Tax	1 Party	2 Party	Total
Bonnie Garcia														
Sum	388	370	2	0	0	0	0	729	26	1	4	297	463	760
Percent	21%	21%	67%	0%	0%	0%	0%	21%	16%	50%	20%	21%	21%	
Cindy Montanez														
Sum	267	269	0	0	0	0	0	503	30	0	3	197	339	536
Percent	15%	15%	0%	0%	0%	0%	0%	15%	18%	0%	15%	14%	15%	
George Plascia														
Sum	454	404	0	0	0	0	0	812	41	1	4	327	531	858*
Percent	25%	23%	0%	0%	0%	0%	0%	24%	25%	50%	20%	23%	24%	
Liz Figueroa														
Sum	418	429	1	0	0	0	0	806	36	0	6	332	516	848
Percent	23%	24%	33%	0%	0%	0%	0%	23%	22%	0%	30%	24%	23%	
Sharon Runner														
Sum	301	323	0	0	0	0	0	589	32	0	3	246	378	624*
Percent	16%	18%	0%	0%	0%	0%	0%	17%	19%	0%	15%	18%	17%	
Total Cases Reviewed:	1828	1795	3	0	0	0	0	3439	165	2	20	1399	2227	

*Off Calendar

Monday, October 04, 2010

Litigation Report - September 2010

AGENDA ITEM 9

LITIGATION CASES PENDING

TOTAL 274

SUPERIOR COURT:	Claimant petitions	219
	Employer petition	32
	EDD petitions	3
	Other petitions	1
APPELLATE COURT:	Claimant appeals	7
	Employer appeals	3
	EDD appeals	0
	Other appeals	0
	Non-Benefit Cases	9
	UI	228
	DI	20
	Tax	17

2010 CALENDAR YEAR ACTIVITY

LITIGATION CASES FILED

		<u>YTD</u>		<u>September</u>
SUPERIOR COURT:	Claimant petitions	87		6
	Employer petitions	12		1
	EDD petitions	1		1
APPELLATE COURT:	Claimant appeals	4		0
	Employer appeals	0		0
	EDD appeals	0		0

LITIGATION CASES CLOSED

		<u>YTD</u>		<u>September</u>
SUPERIOR COURT:	Claimant petitions	48		10
	Employer petitions	3		1
	EDD petitions	0		0
APPELLATE COURT:	Claimant appeals	7		0
	Employer appeals	0		0
	EDD appeals	0		0

2010 Decision Summary

Claimant Appeals

Win: 18 Loss: 32

Employer Appeals

Win: 2 Loss: 1

CUIAB Decisions

Affirmed: 33 Reversed: 20 Remanded: 5

California Unemployment Insurance Appeals Board
2010-2011 FISCAL YEAR BUDGET PROPOSAL

10-12-10/rme

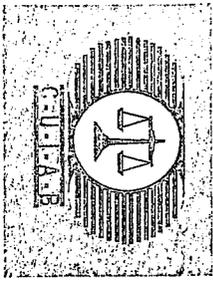
AUTHORITY/RESOURCES	POSITIONS			SALARY/WAGE			BENEFITS	OE&E	TOTAL
	Perm	Temp	Total	Perm	Temp	SubTotal			
CUIAB's BASE BUDGET ESTIMATE	736.5	296.5	1033.0	52,602,925	20,947,817	73,550,742	27,934,572	11,074,001	\$ 112,559,315
Furlough GF Restoration				26,507	7,869	34,376	14,187		\$ 48,563
May 2010 Revise Adjustments									
Unemployment Insurance Program			0.0			0	0	0	\$ -
Disability Insurance Program		0.8	0.8		42,000	42,000	15,952	25,000	\$ 82,952
Paid Family Leave Program				-1,130,298		-1,130,298	-429,287	-215,829	\$ (1,775,414)
CUIAB Telecom Operations tfr to EDD	-2.0		-2.0	-109,817	-8,400	-118,217	-44,899		\$ (163,116)
EO S-01-10 5% Workforce Cap Savings		-31.0	-31.0		-2,217,000	-2,217,000	-842,017		\$ (3,059,017)
Personal Leave Program 4.62% Salary Reduction									
Non ALLs/Excluded/Exempt - 8 months			0.0	-626,227	-357,676	-983,903	-373,686		\$ (1,357,590)
ALLs - 7 months			0.0	-741,145		-741,145	-281,487		\$ (1,022,632)
Pension Contribution Trf to Ees 3.5%-8 months							-613,079		\$ (613,079)
TOTAL (Estimates)	734.5	266.3	1000.8	50,021,945	18,414,610	68,436,555	25,380,255	10,883,172	\$ 104,699,982
CUIAB BRANCH REQUESTS	POSITIONS			SALARY/WAGE			BENEFITS	OE&E	TOTAL
Schedule 7a and OE&E	Perm	Temp	Total	Perm	Temp	SubTotal			
Appellate Operations / CTU	58.0	25.5	83.5	3,881,109	1,597,589	5,478,698	1,889,055	224,400	\$ 7,592,153
Administrative Services	56.1	6.5	62.6	3,006,555	409,382	3,415,937	1,177,815	4,160,616	\$ 8,754,368
Information Technology	43.3	4.0	47.3	2,186,355	374,241	2,560,596	882,894	1,981,054	\$ 5,424,544
Executive Office	20.0	0.5	20.5	1,687,452	233,611	1,921,063	662,383	1,663,514	\$ 4,246,960
Field Operations	555.3	131.6	686.8	38,697,974	9,663,565	48,361,539	16,675,059	12,499,964	\$ 77,536,562
EDD Employee Loans (25) - 3 months			0.0		168,536	168,536	58,111		\$ 226,647
TOTAL	732.6	168.1	900.7	49,459,445	12,446,924	61,906,369	21,345,316	20,529,548	\$ 103,781,233
PERCENT	81.3%	18.7%		79.9%	20.1%	59.1%	20.4%	19.6%	0.9%
BALANCE UNDER / OVER	1.9	98.2	100.1	562,499	5,967,686	6,530,185	4,034,939	(9,646,376)	\$ 918,749

CUIAB 10/11 Fiscal Year Overtime - SCO Report
July 2010 through August 2010

Branch	FY Y-T-D Decision Typing		FY Y-T-D CTU Typing		FY Y-T-D Registration		FY Y-T-D Other	
	Hours	Pay	Hours	Pay	Hours	Pay	Hours	Pay
Appellate	7.75	\$228.24	0.00	\$0.00	57.75	\$1,585.91	314.75	\$9,832.83
Admin	0.00	\$0.00	0.00	\$0.00	201.50	\$7,006.81	278.25	\$11,902.01
IT	0.00	\$0.00	0.00	\$0.00	0.00	\$0.00	594.25	\$25,022.85
Exec	0.00	\$0.00	0.00	\$0.00	23.50	\$1,199.21	115.50	\$3,533.15
Field	1,389.50	\$46,436.52	0.00	\$0.00	1,819.25	\$49,937.10	2,628.75	\$84,409.37
Total	1,397.25	\$46,664.76	0.00	\$0.00	2,102.00	\$59,729.03	3,931.50	\$134,700.21

10/11 Fiscal Year-to-Date Total Overtime Expenditures					FY 10/11 FY Projections	
Branch	10/11 FY Proposed Allocation	Year-to Date Hours	Year-to Date Pay	Allocation Balance	Estimated Expenditures Over-/Under	
Appellate	\$105,548.07	380.25	\$11,646.98	\$93,901.09	\$35,666.19	
Admin	\$80,986.41	479.75	\$18,908.82	\$62,077.59	-\$32,466.51	
IT	\$75,564.16	594.25	\$25,022.85	\$50,541.31	-\$74,572.94	
Exec	\$11,716.40	139.00	\$4,732.36	\$6,984.04	-\$16,677.76	
Field Operations	\$814,994.77	5,837.50	\$180,782.99	\$634,211.78	-\$269,703.19	
Total	1,088,809.81	7,430.75	\$241,094.00	\$847,715.81	-\$357,754.21	

10/6/10^{ve}



CALIFORNIA UNEMPLOYMENT INSURANCE APPEALS BOARD

SPECIAL PROJECTS MATRIX

October 2010

California's economy is globally ranked with approximately 1.0 million business owners and 15.9 million workers. Currently, California, along with the nation, is experiencing an immense economic downturn with 2.2 million California workers out of work. These are unprecedented numbers for California and the nation. Given this current economic situation, we strive to better serve California's workers and business owners during a time when more than ever, they are in need of our services. Since January 2009, the Board has been focused on the appeal backlog and identifying work solutions that will help address the workload.

WORK PROCESS IMPROVEMENTS

	Lead	Priority	Milestones	Goals	Status
EDD/CUIAB Appeal Co-Location Pilot Exploring the co-location of four CUIAB staff at EDD's LA PAC to streamline appeals registration processing.		High	Developed scope with EDD 07/2010 Connectivity established 08/2010 Equip installed 08/2010 Train staff 09/20/2010 Launch Pilot 09/27/2010 Analyze impact to appeals workload 12/2010	<ul style="list-style-type: none"> - Reduce claimants' & employers' wait time for hearing decisions. - Resolve appeal registration issues in a timely manner. 	Project launch on 09/27. EDD & CUIAB staff will evaluate the initial project data after the first 30 days and follow with evaluations at 60 and 90 days. Suspended on 10/04 to address registration backlog.
Streamline Appeal Registration EDD & CUIAB established a joint workgroup to improve the appeal registration process that occurs at both EDD & CUIAB. The current process takes about 15 days before an appeal is ready to calendar for hearing.	Lori Kurosaka	High	Recruit FO staff participants Visit PACs & CUIAB FOS to review processes. Brainstorm solutions. Recommendations to Execs	<ul style="list-style-type: none"> - Eliminate duplication in process. - Increase efficiencies. - Increase federal performance measures. - Reduce wait time for claimants & employers. 	Workgroup launched on 11/20 with office visits on 12/10 & 11 Sacto and 01/14 & 15 in OC. EDD & CUIAB met on 02/18 to debrief and discuss potential solutions.
US Department of Labor Taskforce For nine years, CUIAB has failed to meet US DOL timeliness standards for UI appeals. California is ranked 51 st among 53 states and US territories on time lapse and case aging standards. In late 2008, US DOL placed CUIAB under a corrective action plan with oversight by a taskforce of US DOL, EDD & CUIAB representatives.		High		<ul style="list-style-type: none"> - Meet DOL time lapse measures. - Meet DOL case age measures. 	US DOL representatives conducted an appellate review and evaluation during the week of 07/27-31/2009. Formal DOL report sent on 02/05/2010. A response by LWDA was sent on 03/10/2010. The two-year "At-Risk" corrective action plan was submitted to DOL on 07/15/2010.

TECHNOLOGY

	Lead	Priority	Milestones	Goals	Status
<p>Accelerate Decision Notification to EDD Currently, CUIAB Field staff prepare appeal decisions for mailing to the appellants and EDD UI Branch. CUIAB and EDD are jointly developing electronic solutions for the transfer of appeal decisions to all EDD programs.</p>	Lori Kurosaka	High	<p>EDD/CUIAB workgroup launched 08/18/2009 Unsys contract award 01/2010 Phase I implementation 04/14/2010 (second level) Phase II design 05/03/2010 (first level) Phase II implementation 09-10/2010</p>	<ul style="list-style-type: none"> - Reduce claimants' & employers' wait times for benefits and adjustments. - Reduce postage and paper costs. - Increase information security for claimants & employers. 	<p>FO design & development began 05/03/2010 Phase II implementation rollout began 09/22 with three FOs. Rollout of five FOs follow on 10/04 & 10/07. Phase III project development for DI & Tax decisions will begin late October 2010.</p>
<p>ALJ Mobility Pilot Provides mobile equipment to conduct hearings in remote locations.</p>	Rafael Placencia	Medium			<p>Inland FO will be pilot site. Training completed in 03/2010. Implementation is continuing.</p>
<p>Business Process Reengineering EDD & CUIAB established joint project team to solicit a vendor to review and document current appeals processes in light of EDD's technology efforts to ensure CUIAB aligns with new models. Vendor will offer new appeal business models for consideration and to lead to business and procurement requirements.</p>	Lori Kurosaka	High	<p>Vendor on site 06/21 Kick off 06/23 As is delivered 08/2010 To be deliverable 09/2010 Gap analysis 09/2010</p>	<ul style="list-style-type: none"> - Reduce claimant & employer wait time for appeals decisions. - Reduce paper & postage costs. - Increase information security. - Increase federal performance measures. 	<p>Currently pursuing DOL grant to fund the entire effort. EDD/CUIAB joint kick off on 06/23. Vendor held leadership vision checks with CUIAB (08/19/2010) & EDD (08/31/2010). Final deliverables due on 10/12.</p>
<p>Digital Imaging Currently, EDD mails hard copy documents to CUIAB when an appeal is filed. CUIAB will collaborate with EDD to image documents and records relating to all appeals and design an electronic exchange.</p>	Rafael Placencia Lori Kurosaka	High	<p>FSR completion 11/2010 Potential BCP 11/2010 Procurement 01/2011</p>	<ul style="list-style-type: none"> - Reduce paper files prepared & sent by EDD UI Branch. - Increase information security. - Reduce paper file storage space needs & costs at CUIAB. - Reduce postage costs. - Increase federal performance. 	<p>DOL approved funding at \$354,000 for the planning phase only. Project and procurement strategy approved by LWDA & EDD. Vendor contract approval pending with LWDA. Need to kick off EDD/CUIAB/Vendor project team in early 10/2010. CUIAB staff are beginning work on economic analysis worksheets.</p>
<p>Digital Personnel System This project creates a paperless process for recruitment and hiring process between HR and hiring managers (Phase I). Phase II will use CUIAB's external web site to accept electronic application filing for CUIAB job vacancies.</p>	Rafael Placencia	Medium	<p>Phase I design 05/2009 Phase I implementation 06/2009 Phase II design 08/2009 Phase II implementation 09/2009</p>	<ul style="list-style-type: none"> - Replace existing manual process to full paperless process - Eliminate the mailing of applicant documentation - Reduce staff time for preparing to hire by fully automating the application process 	<p>Phase I is in use. Phase II is in development</p>

TECHNOLOGY cont.

	Lead	Priority	Milestones	Goals	Status
<p>Electronic Case Management CUIAB's case tracking database is 8 years old and becoming cumbersome to manage the current workload volume. CUIAB is collaborating with LWDA & EDD to develop an integrated case management system.</p>	Rafael Placencia Lori Kurosaka	On Hold until 01/2011		<ul style="list-style-type: none"> - Receive appeals case documents electronically from EDD. - Eliminate internal mailing of case documents 	DOL approved funding at \$404,000 for the planning phase only. Project & procurement strategy approved for FSR development by LWDA, EDD & CUIAB. Vendor contract approved by LWDA. Kick off will occur after Digital Imaging contract.
<p>Enhance Wireless Connections CUIAB will upgrade 12 Field Offices and 3 large out-station offices for wireless connection. This will provide faster laptop and PC response times for ALJs in hearing rooms and offices.</p>	Rafael Placencia	High	Procure "hot spot" connectivity boosters. Install boosters.	<ul style="list-style-type: none"> - Seamlessly connect to CUIAB network via mobile devices. 	Equipment received. Working on design and project plan. Design completed and working on configuration and testing.
<p>Expand Auto Dialer Hearing Reminder Adding email and cell phone text features for supplemental hearing notifications.</p>	Rafael Placencia	High	Updated software. Final testing 08/2010. Implemented 09/2010.	<ul style="list-style-type: none"> - Increase hearing attendance rate & productivity. 	Email notifications implemented in 09/2010.
<p>Field Office Telephone Tree Field Operations will test the use of phone menu options to answer routine constituent calls. This will allow support staff to spend more time on the non-routine calls.</p>	Rafael Placencia	Medium	Develop standard automated phone tree to be used for all FO's Pilot new phone tree in the Inland FO	<ul style="list-style-type: none"> - Reduce claimants & employers time on phones. - Standardize hearing information provided by phone. 	Standard phone tree design completed. Pilot began in the Inland FO. IT & Admin are developing evaluation tool to measure pilot effectiveness.
<p>Hearing Scheduling System Currently, FO & AO support staff schedule or assign appeal hearings or cases using a hybrid manual process considering many different criteria.</p>	Rafael Placencia	High	Charter & scope completed. Kick off 10/14/2010.	<ul style="list-style-type: none"> - Reduce claimants & employers wait time for hearing decisions. - Provide easier electronic process for staff to calendar hearings or schedule cases. 	AO, FO & IT observed an EDD demo on their UI Scheduling System. Finalizing project scope. Project kick off scheduled for 10/14/2010.
<p>Interim Access to SCDB/TAS CUIAB IT & EDD IT partnering to provide connectivity to EDD's Single Client Database for FO inquiry use - address updates, claim info, employer account updates, proper appeal documents, etc.</p>	Rafael Placencia	Medium	EDD CIO strategy plan 01/29/2010. Security & connectivity tests in Sacramento 05/06/2010 MOU executed 06/24.	<ul style="list-style-type: none"> - Validate claim information and appeal registration in real time. - Reduce wait time for claimants & employers. - Increase federal performance. 	Interim solution until both EDD & CUIAB are on the same network infrastructures and imaging systems. MOU executed. Training module received on 08/25/2010 from UI Branch. CUIAB staff testing use week of 10/11.
<p>VOIP Telephony CUIAB is exploring use of Voice Over Internet technology to provide lower cost telecommunications. This will also include expansion of auto dialer hearing reminder system.</p>	Rafael Placencia	High	Identify equipment costs	<ul style="list-style-type: none"> - Elimination of long distance toll calls - Consolidation of telecommunications support areas. 	OTECH delegation submitted 04/06/10 Working with vendor to establish system requirements. First installation will be Oxnard once state budget enacted. All remaining rollouts will be completed by EDD under Telecomm Consolidation.

STAFFING, FACILITIES, EQUIPMENT & OTHER

	Lead	Priority	Milestones	Goals	Status
Archive File Document Conversion Each FO is retaining three years of completed paper appeal case files that are sitting in considerable real estate space. The file room space may be easily converted to ALJ offices or hearing rooms.	Lori Kurosaka Pat Houston	High	MSA vendor contract executed 01/20/10. OC, Inland, LA, Oxnard, San Jose, San Diego, LA, Sacto, SF, Appellate complete Vendor quality check 04/05, 05/06 & 08/19.	<ul style="list-style-type: none"> Recapture real estate space for ALJ offices and hearing rooms. Priority conversion for OC, Inland, LA, San Jose & Oxnard. 	FO staff are inventorying, prepping and boxing 2008 & 2009 archive appeal files for vendor pick up. Fresno & Pasadena are pending.

COMPLETED PROJECTS

	Lead	Priority	Milestones	Goals	Status
ALJ & Board Member Training Curriculum is being developed to address federal and state policy changes such as extended unemployment benefits program.	Alberto Roldan Jorge Carrillo	High	Implement new curriculum 11/15/2009		Developing webinar training to update ALJs on federal policy & regulation changes to be available in 12/2009. New curriculum introduced in new ALJ training in 11/2009. Board Member curriculum delivered 12/2009.
Auto Diater Hearing Reminder FO experienced over 30% "no show" rate of appellants for scheduled hearings. To increase hearing attendance, CUIAB developed computerized auto dialing hardware and software to call claimants and employers with reminders 48 hours prior to scheduled hearing dates.	Rafael Placencia	High	System design 05/2009 Procurement 06/15/2009 Configuration & testing 06/30/2009 Implementation 07/01/2009	<ul style="list-style-type: none"> Increase hearing attendance. Increase phone hearing schedule. Reduce duplication of work from reopening cases and rescheduling hearings. 	Tested hardware/software 07/2009. Implementation was on 07/22/2009. Post-implementation "no-show" rate now at 22%.
Case Process Time Analysis CUIAB conducted a detailed analysis of the steps in the first- and second-level appeal process. This helps to identify areas to streamline and maximize efficiencies.	Steve Egan	Medium	Appellate analysis to be completed by 06/15/2009.	<ul style="list-style-type: none"> Increase federal performance measures. Reduce wait time for claimants & employers. 	Field analysis completed on 04/29/2009. Appellate analysis completed 06/30/2009. Both products were shared with US DOL & EDD.
Dragonspeak Software Pilot Piloted use of voice to text software to dictate appeal decisions with 21 ALJs. Software helps reduce hub typing by support staff and expedite the mailing of appeal decisions to claimants and employers.	Alberto Roldan	High		<ul style="list-style-type: none"> Reduce decisions being typed in the hubs. Reduce wait time for claimants & employers. 	3 ALJs in AO, 17 ALJs in FO and 1 in Office of Chief piloted the software. The software will be introduced at all new ALJ training beginning 09/2009.

COMPLETED PROJECTS CONT.

Project Description	Lead	Priority	Milestones	Goals	Status
Enhance E-Dec System for Paperless Decision Processing Replicate Field Operations typing hub for Appellate Operations. Provides electronic access to decisions by Appellate ALJs and decision typists for typing, review, edits, etc.	Rafael Placencia Jorge Carrillo	High	Specification meeting 04/29/2010 Demo 05/05/2010	<ul style="list-style-type: none"> - Eliminates typist wait times in receiving hard copy folders with digital audio decisions. - Reduces wait time for claimants & employers. 	IT began pilot phase.
Enhancing Information Security CUIAB appeal forms and mailings include printing of Social Security Numbers as identifiers. CUIAB is experiencing a high number of security incidents due to errors with mailing addresses.	Rafael Placencia	High		<ul style="list-style-type: none"> - Increase information security for claimants and employers. 	IT developed programming to remove the SSN from all CUIAB mailings. User testing successfully completed. Changes in production on 02/09/2010 with a new release of CATS.
Expansion of Dragonspeak Software Currently, 60 ALJs in FO & AO are using the voice to text software to dictate appeal decisions in result of the pilot and training. CUIAB is offering the use to all ALJs and provide a training schedule statewide.	Alberto Roldan Rafael Placencia Pam Boston	High	Procure additional licenses Develop training schedule & technical assistance follow up. Implement new licenses.	<ul style="list-style-type: none"> - Reduce decisions being typed in the hubs. - Increase federal performance. - Reduce claimants & employers wait time for decisions. 	DOL approved funding for expansion at \$148,000 for 60 licenses. CUIAB matched funding for 30 additional licenses. IT rolled out software in 03/2010. Admin followed with ALJ training sessions from 03/2010 to 06/2010 with vendor-developed custom software training for ALJs.
Expansion of Information Technology Infrastructure To align with the State CIO & CA Labor & Workforce Development Agency CIO strategic technology plans, CUIAB needs to update its IT Infrastructure to pursue further technology projects.	Rafael Placencia	High	System design 09/2009 Procurement 10/2009 Configuration & test 10/2009 Implementation 07/2010	<ul style="list-style-type: none"> - Reduce the complexity of the WAN by standardizing circuit types. - Provide faster throughput for centralized computer services - Lower administrative cost. - Align with Agency WAN consolidation. 	System design completed 07/2009 DOL approved funding at \$310,000. Sacto & OC were first priority.
Hearing Room CUIAB will partner with Division of Workers' Compensation to use their underutilized hearing rooms across the state.	Alberto Roldan Pam Boston Pat Houston	High	Executed contract for 3 Oakland hearing rooms from 12/2009. Executed contract for So Ca	<ul style="list-style-type: none"> - Increase facility capacity for hearings. - Increase federal performance. - Reduce wait time for claimants & employers. 	Hearing rooms secured in Van Nuys, Oxnard, and San Diego.
IT Asset Management Improvement Updated tracking of IT assets with an automated system. This item was a recommendation noted in the Bureau of State Audits Report 2008-103.	Rafael Placencia	Medium	Implement 08/2009 Transition assets to IT 09/2009 Draft & implement policies & procedures 09/2009 Conduct training 11/2009	<ul style="list-style-type: none"> - Replace manual IT asset management system with new automated system. - Improve tracking of IT assets & aging. - Reduce asset management processing time by 50%. 	

COMPLETED PROJECTS CONT.

Project Name	Lead	Priority	Milestones	Goals	Status
Mass Calendaring In each FO, a team of 3 to 4 ALJ IIs are assigned a mass calendar of more common, routine UI appeal hearings. Rather than scheduling one hearing for a time slot, the mass calendar schedules three hearings to maximize case calendar time.	Alberto Roldan	High		<ul style="list-style-type: none"> - Increase case completions. - Increase federal performance measures. - Reduce wait time for claimants & employers. 	Beginning 10/2009, all Field Offices will be holding mass calendars two weeks each month. Mass calendars will be scheduled for both ALJ IIs and ALJ IIs.
Overcalendaring System Beginning 09/24/2009, all ALJs, during regular calendar weeks, will be assigned additional four to five appellants per week per ALJ. This overcalendaring will offset lost productivity created by appellants not showing for hearings. This procedure will be in place in addition to mass calendars alternating weeks.	Alberto Roldan	High		<ul style="list-style-type: none"> - Liquidate the appeal case backlog in FO - Increase federal performance measures. - Reduce wait time for claimants & employers. 	This new procedure was put into place on 09/24/2009 for hearing calendars two weeks out. We will monitor results over the next few months.
Paperless Pilot Project When a board appeal is filed, the hard copy case file is mailed to AO for processing. To expedite this case transfer, CUIJAB will pilot the transmission of electronic case files from one Field Office to AO.	Pam Boston Alberto Roldan Jorge Carrillo Luis Rodriguez	High	System design 03/2009 Procurement 06/2009 Configuration & testing 07/2009 Implement Phase I 09/2009 Implement Phase II 10/2009	<ul style="list-style-type: none"> - Expedite the transfer of board appeals from FO to AO. - Reduce wait time for claimants & employers. - Increase federal performance. 	AO developed a monthly report that measures the time it takes FO to transmit board appeals and case files to AO. Daily scanning in Orange County FO began 10/01/09. OC transfer rate has fallen from 4 days to 1.9 days.
Phase I – Workload Reduction Plan In 2008, the Board & management team developed a workload reduction plan to address the increase in cases and the time lapse and case aging standards.	Pam Boston Alberto Roldan Jorge Carrillo		Board approval Hire 21 ALJ IIs in FO 05/2009 Hire 21 Support FO 05/2009 Hire 10 ALJ IIs FO 08/2009	<ul style="list-style-type: none"> - Liquidate appeal case backlog in FO - Liquidate appeal case backlog in AO - Increase federal performance measures. 	All Phase I hires completed.
Phase II – Workload Reduction Plan Hired additional staff to address workload and phased ALJ training.	Pam Boston Alberto Roldan Jorge Carrillo		Board approval Hire 40 ALJ IIs by 06/2009 Hire 40 support by 06/2009	<ul style="list-style-type: none"> - Liquidate FO appeal case backlog. - Liquidate AO appeal case backlog. - Meet time lapse & case aging stds. 	
Phase III – Workload Reduction Plan	Pam Boston Alberto Roldan Jorge Carrillo	High	Board approval Hire 4 ALJ PIs in AO Hire 6 Support in AO Hire 30 ALJs in FO Hire 40 Support in FO	<ul style="list-style-type: none"> - Liquidate the appeal case backlog. - Reduce wait time for claimants & employers. - Increase federal performance. 	AO completed 4 FT ALJs and 4 PI Support Staff. FO has filled 29 ALJs and 28 Support Staff. All offices are actively recruiting, and anticipate having most of the PFT positions filled by 4/30/10.

COMPLETED PROJECTS CONT.

Project	Lead	Priority	Milestones	Goals	Status
Reduce Decision Typing Backlog CUIAB will partner with LWDA & EDD to borrow typists on Furlough Fridays, Saturdays &/or Sundays to help reduce decision typing backlogs in FO & AO. CUIAB is converting the Sacramento Training Room into a temporary mini-hub.	Alberto Roldan Jorge Carrillo Pam Boston Lori Kurosaka	High	Test equipment & training with small group 10/09 Mini-hub full group 10/16 FO decision typing liquidated by 11/13/2009	<ul style="list-style-type: none"> Reduce wait time for claimants & employers. Increase federal performance. 	49 CUIAB Headquarters staff began 10/12 & 20 EDD staff began 10/16. Transitioned hub to AO transcript typing on 11/13. Transcription backlog reduced by 50% by 01/15/2010. OAL stenographer contract in place to assist with transcription.
Refresh Forms & Pamphlets Update CUIAB forms and pamphlets.	Steve Egan-- FO Luis Rodriguez AO	Medium	Draft procedures for FO staff. Implement data collection. Enhance auto dialer with new data mining.	<ul style="list-style-type: none"> Update, summarize, clarify and consolidate public information on CUIAB website. 	Draft Hearing Info Pamphlet completed 05/09. Pending FO review. Draft AO FAQs completed. In final review. DE 1000 revised for cell phone & email collection. CATS drop down fields already in place.
Regulatory Revisions To eliminate 18 days of waiting time in board appeals, CUIAB is pursuing regulatory changes to require parties to exercise their rights earlier in the process.	Jorge Carrillo Ralph Hilton Kim Hickox Rafael Placencia	Medium	Board Approval OAL submission Develop forms IT reprogramming OAL approval 02/15/2010 Implement 05/2010	<ul style="list-style-type: none"> Reduce board appeal processing time in AO. 	The Board held two public hearings for public comment - one in Northern CA & one in Southern CA. Draft regulation revisions adopted by the Board at the 10/2009 meeting. OAL published the draft regulations for public comment ending 02/15/2010. CUIAB also mailed drafts to interested parties. AO staff are working with IT staff to update all board appeal acknowledgement letters and any other applicable letters.
Sacramento Headquarters Construction CUIAB Headquarters experienced construction delays for seven hearing rooms.	Pam Boston Ralph Hilton	High	Locate seven hearing rooms with other state agencies.		Construction complete and hearings began 01/19/2010.
Telephone Hearings Field Operations is testing the use of phone hearings to provide better access particularly to UI claimants who lack transportation to a hearing or have secured new employment. This also helps employers by allowing them to remain on their business premises during business hours.	Alberto Roldan	High		<ul style="list-style-type: none"> Increase hearing attendance by claimants & employers. Increase federal performance measures. Reduce wait time for claimants & employers. 	
Video Production Developed a 5 minute video to demystify the appeal hearing process. The video is looped in hearing office reception areas and available via the internet.	Steve Egan	High		<ul style="list-style-type: none"> Familiarize parties with the hearing process. Educate parties on presenting their cases at hearings. 	PowerPoint slide presentation is complete. DGS Studio Director Stella Garin completed film shoot on 06/19. Editing completed & DVD delivered 07/08/2009. Posted to CUIAB website on 09/01.

COMPLETED PROJECTS Cont.

Project Description	Lead	Priority	Milestones	Goals	Status
<p>WAN Acceleration Implement a networking technology known as Wide Area Acceleration Services (WAAS) to speed up the transferring of data over the Wide Area Network.</p>	<p>Rafael Placencia</p>	<p>High</p>	<p>System design 06/2/2009 Procurement 07/2/2009 Configuration & testing 08/30/2009 Implementation 10/1/2009</p>	<ul style="list-style-type: none"> - Reduce computer response time when accessing files over WAN links - Increase productivity of staff by improving opening and closing of documents over the WAN 	<p>System Design Complete. Implementation occurred in San Jose, Inland, Fresno, Inglewood, Oakland, Pasadena, and San Francisco. Phase II is complete.</p>

California

Unemployment Insurance Appeals Board



October 12, 2010

Board Meeting

2010-11

CUIAB Budget

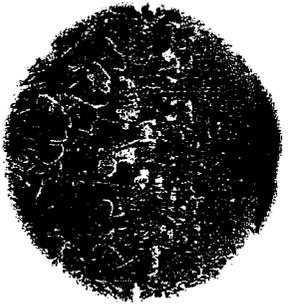
Proposal



BASE BUDGET ESTIMATES

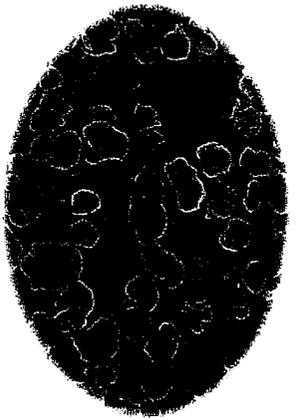
BUDGET AUTHORITY ADJUSTMENTS

	POSITIONS		TOTAL
	Perm	Temp	Total
CUIAB's BASE BUDGET ESTIMATE	736.5	296.5	1033.0
Furlough GF Restoration			\$ 48,563
May 2010 Revise Adjustments			
Unemployment Insurance Program		0.0	\$ -
Disability Insurance Program	0.8	0.8	\$ 82,952
Paid Family Leave Program			\$ (1,775,414)
CUIAB Telecom Operations trf to EDD	-2.0	-2.0	\$ (163,116)
EO S-01-10 5% Workforce Cap Savings	-31.0	-31.0	\$ (3,059,017)
Personal Leave Program 4.62% Salary Reduction			
Non ALLJs/Excluded/Exempt - 8 months		0.0	\$ (1,357,590)
PALJs/ALLJs - 7 months		0.0	\$ (1,022,632)
Pension Contribution Trf to Ees 3.5%-8 months			\$ (613,079)
TOTAL (Estimates)	734.5	266.3	1000.8
			\$ 104,699,982



BRANCH REQUESTS

CUIAB BRANCH REQUESTS	POSITIONS			TOTAL
	Perm	Temp	Total	
Schedule 7a and OE&E				
Appellate Operations / CTU	58.0	25.5	83.5	\$ 7,592,153
Administrative Services	56.1	6.5	62.6	\$ 8,754,368
Information Technology	43.3	4.0	47.3	\$ 5,424,544
Executive Office	20.0	0.5	20.5	\$ 4,246,960
Field Operations	555.3	131.6	686.8	\$ 77,536,562
EDD Employee Loans (25) - 3 months		0.0	0.0	\$ 226,647
TOTAL	732.6	168.1	900.7	\$ 103,781,233



PERSONNEL BUDGET HIGHLIGHTS

	POSITIONS			DOLLARS
	Perm	Temp	Total	
PERSONNEL GROWTH				
2010-11 REQUESTS	732.6	168.1	900.7	\$ 69,177,700
2009-10 as of 6-1-10	659.6	105.2	764.8	\$ 52,313,967
TOTAL INCREASE	73.0	62.9	135.9	\$ 16,863,733

BRANCH GROWTH	Total
Service Branches	+ 12
Appellate Operations	+ 13
Field Operations	+110
TOTAL	+135

OVERTIME ALLOCATION

\$1.1 MILLION

(Reduced \$.5 million)



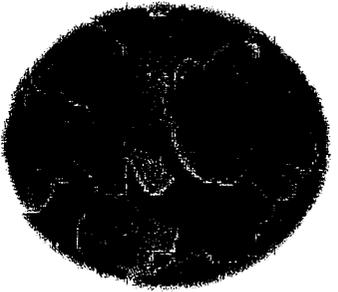
OPERATING EXPENSES & EQUIPMENT (OE&E) BUDGET HIGHLIGHTS

CALL LETTER REQUESTS	10/11 Requests	09/10 Allocations	09/10-10/11 Change	% Change
Equipment/Furniture Purchase	\$ 8,540	86,090	(77,550)	-90%
Telephone Equipment Purchase	\$ 28,900	11,350	17,550	155%
Security Services	\$ 44,570	46,276	(1,706)	-4%
Software Purchase	\$ 64,200	136,700	(72,500)	-53%
Premises Planned/Unplanned Repairs	\$ 67,269	64,826	2,443	4%
Other Postage	\$ 152,512	85,674	66,838	78%
DP Equipment Purchase	\$ 293,370	1,188,900	(895,530)	-75%
Training	\$ 331,535	97,760	233,775	239%
Miscellaneous Expenses	\$ 436,160	586,300	(150,140)	-26%
Professional Service Contracts	\$ 438,487	607,826	(169,339)	-28%
DP/Non Equip Maintenance / Repair	\$ 1,122,284	1,006,409	115,875	12%
TOTALS	\$ 2,987,827	3,918,111	(930,284)	-24%



OE&E BUDGET HIGHLIGHTS

ON-GOING COSTS	10/11 Requests	09/10 Allocations	09/10-10/11 Change	% Chng
Printing and Binding	\$ 2,300	2,300	-	0%
Advertising	\$ 2,500	1,000	1,500	150%
Transportation/Freight	\$ 85,000	183,350	(98,350)	-54%
Memberships	\$ 112,050	112,050	-	0%
Other Services	\$ 140,100	86,340	53,760	62%
Subscriptions	\$ 245,700	101,700	144,000	142%
Supplies	\$ 405,500	332,300	73,200	22%
Pro Rata & SWCAP	\$ 479,210	364,022	115,188	32%
Phone Services & Repair	\$ 579,000	544,640	34,360	6%
Travel / Auto Expense	\$ 732,900	920,500	(187,600)	-20%
Postage	\$ 755,000	853,700	(98,700)	-12%
Computer Output Microfilm	\$ 764,496	0	764,496	100%
AG Services/Court Costs	\$ 1,262,000	1,848,600	(586,600)	-32%
Interpreter Services	\$ 1,665,700	1,408,900	256,800	18%
Premises Rent, DGS Plans, TIs, Utilities, Janitorial, Maintenance	\$ 10,310,265	13,873,751	(3,563,486)	-26%
TOTAL	\$ 17,541,721	20,633,153	-3,091,432	-15%



OE&E BUDGET HIGHLIGHTS

LEASES AND TENANT IMPROVEMENT BUDGET

SACRAMENTO HEADQUARTERS	\$ 1,895,911
FIELD HEADQUARTERS and OUTSTATIONS	\$ 7,087,424
PROPOSED PROJECTS (Increases & Tenant Improvements)	\$ 827,400
TOTAL	\$ 9,810,735



OE&E BUDGET HIGHLIGHTS

PROPOSED FACILITY PROJECTS

	FY 10-11	TI
	Increase	Lump Sum
Roseville	\$50,000	\$100,000
Chula Vista	\$73,300	
Stockton - Lease Renewal (\$48,000 no change)		
San Jose - 2nd Floor Suite 204	\$206,400	
Personnel Services Off-site	\$121,000	\$50,000
State Building Sale-Leaseback Estimates	\$151,700	
Lease, Gas, Electric, CALEMA, Operating Costs +16%		
Inglewood Suite 908	\$50,000	
Los Angeles Co-Locate	\$25,000	
Annualized Increase Total	\$677,400	
Lump Sum Payment Total		\$150,000
GRAND TOTAL		\$827,400



OE&E BUDGET SUMMARY

OE&E - FISCAL YEAR CHANGE DOLLARS

2010-11 REQUESTS \$ 20,529,548

2009-10 ALLOCATION \$ 24,551,264

TOTAL DECREASE - \$ 4,021,716

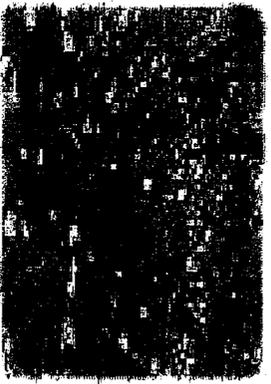


NEW TECHNOLOGY PROJECTS

USDOL UI GRANT FUNDING

USDOL GRANTS 10-11/11-12 LEDGERS

	TOTAL
1. Speech to Text (STEP) Refresher Training \$24,000	\$ 147,679
2. Wide Area Network Redesign	\$ 309,969
3. Digital Imaging of Appeals	\$ 353,776
4. E-CATS	\$ 403,653
5. <i>Business Process Reengineering - Proposed SBR</i>	\$ 316,160
TOTAL	\$ 1,531,237



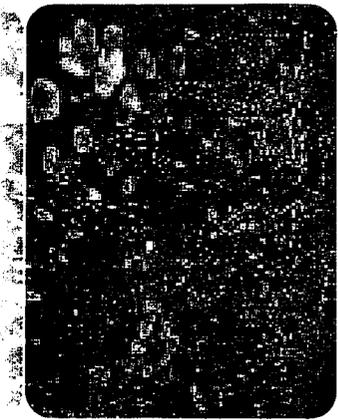
NEW TECHNOLOGY PROJECTS

CUIAB FUNDING

CUIAB 2010-11 BUDGET

6a. Softfile Digital Imaging of Appeals	
b. Softfile - FO 8 Offices	\$ 414,496
c. Softfile - FO Continue 1 Year	\$ 300,000
d. Softfile - AO	\$ 50,000
7. IP Telephony-Annual Service 25%	\$ 56,700
8. SAC Cable Upgrade (IP Telephony)	\$ 75,000
9. Auto Dialer Replacement- 2 Servers	\$ 32,000
10. AO E-Dec Project-IT Completed	
11. WEB Development - IT In-House	
12. Auto Calendaring - CUIAB/EDD IAA Redirect SBR\$	
13. Paperless Board Appeal Process	

TOTAL \$ 928,196



NEW TECHNOLOGY PROJECTS
GRAND TOTAL FUNDING

USDOL GRANT FUND TOTAL **\$ 1,531,237**

CUIAB FUND TOTAL **\$ 928,196**

GRAND TOTAL **\$ 2,459,433**



BUDGET SUMMARY

POSITIONS

DOLLARS

Perm Temp Total

BASE BUDGET

734.5 266.3 1000.8 \$ 104,699,982

BRANCH REQUESTS

732.6 168.1 900.7 \$ 103,781,233

RESERVE

1.9 98.2 100.1 \$ 918,749

BUDGET PERCENTAGES

POSITIONS

DOLLARS

Permanent

81%

Salaries/Wages 59.0%

Temporary Help

18%

Benefits 20.4%

Overtime

1%

OE&E 19.6%

Reserve 1.0%

Total

100%

Total

100%



POTENTIAL BUDGETARY

RISKS

1. The October 2010 and May 2011 Revises
(reduced workload, positions, and dollars) \$?
2. Higher litigation costs \$?
3. Natural disaster(s) \$?
4. Delayed Economic Recovery \$?
5. Future Budget Directive Reductions \$?

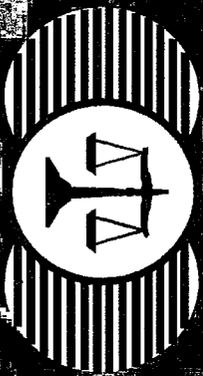


POTENTIAL BUDGETARY

RESERVES

- 1. 2010-11 Reserve \$ 918,749
 - 2. State Building Leaseback Costs \$ 152,000
 - 3. Litigation \$ 620,000
 - 4. Training Conference \$ 200,000
- Total \$ 1,890,749**

California



Unemployment Insurance Appeals Board